

#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,615	08/10/2001	Tomoya Oikawa	450100-03409

CONFIRMATION NO. 5345

## FORMALITIES LETTER



\*OC000000006559108\*

William S. Frommer, Esq.  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2001 HBERHE 00000062 09927615  
01 FC:105 130.00 OP



PATENT  
450100-03409

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

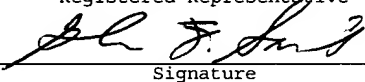
Applicant : Tomoya OIKAWA  
Serial No. : 09/927,615  
Filed : August 10, 2001  
For : INFORMATION READING APPARATUS AND  
INFORMATION READING METHOD  
Art Unit : 2853

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on November 1, 2001

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative



Signature

November 1, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated September 14,  
2001 on the above application, a Declaration duly signed by the  
inventor, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

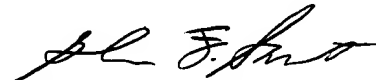
PATENT  
450100-03409

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800

Enclosure